

**Country: India**  
**2011 Annual Work Plan**

**Programme Title** GOI - UN Joint Programme on Convergence

**UNDAF Outcome(s):** **Outcome 3:** By 2012, 11th Plan Targets related to the MDGs are on track in select districts in the 7 priority states

**3.1:** Obstacles to effective and efficient implementation of development programmes at the district level addressed and synergies between the various efforts created.

**Outcome 2:** By 2012, accountable and responsive local government systems, in rural and urban areas, are in place in selected districts/cities (within priority states) which promote equitable and sustainable development to achieve MDGs/local development goals with special attention to the needs of disadvantaged groups, especially women and girls.

**2.1.** Elected officials effectively represent the needs of marginalized groups and women and

**2.2.** Public Administration at district, block and village levels made more effective to plan, manage and deliver public services, and be more accountable to the marginalized groups and women

**Expected CP Outcome(s):** Capacities of elected representatives and State and district officials in the UNDAF focus states/districts enhanced to perform their roles effectively in local governance. (CP Outcome 2.1.)

**Expected CP Output(s):** **2.1** Elected representatives and local functionaries performing their role in formulation, execution and monitoring of integrated district development plans and budgets, ensuring participation and inclusion of poor women and men from SC and ST groups and minorities.

**3.1.3** Capacities of district level officials strengthened to established partnerships (with civil society including private sector and CBOs) and carry out convergent / coordinated development planning, implementation and review at the district level.

**Implementing Partner:** Planning Commission, Government of India, State Governments

**Brief Description**

The Annual Work Plan is based on programme document of GOI – UN Joint Programme on Convergence. The programme aims to address the obstacles to effective and efficient implementation of government development plans and programmes. The programme is being initiated with the Planning Commission in 35 backward districts in UNDAF priority states of Bihar, Chhattisgarh, Jharkhand, Madhya Pradesh, Orissa, Rajasthan and Uttar Pradesh. The key results under the Joint Programme are:

1. Improvement in participatory District Planning: including Situational Analysis and visioning (e.g. District HDR) Support to training of planners and statistical officials for better use of data for planning. Based on the priorities identified in the situation analysis, specific sectors will be taken up – points of action which require convergence, both of resources and efforts, as mentioned above.
2. Better understanding of fund flows - Support to Budget analysis and formulation at the District; identification and mapping of resources, budget allocations and fund flows
3. Improved Implementation of plans and schemes, including assessment of bottlenecks and constraints for identified interventions
4. Strengthened Monitoring: including strengthening government and Panchayat data collection and reporting mechanisms for fund flows, social audit and community report cards.


The UNDP component of the programme was approved by the Local Project Appraisal Committee chaired by the Department of Economic Affairs on 7 November 2008. This component supports operationalisation of the Planning Commission Guidelines and manual on integrated district planning. It proposes to strengthen the state and district level institutions, develop their capacities, and demonstrate replicable approaches to inclusive planning and monitoring.

Programme/project Duration : 2008 – 2012 (Start/End dates)	Total estimated budget of the programme (2008-12): <b>USD 14,750,000</b>		
Fund Management Option(s) : Combination	<b>Out of which:</b> 1. Funded Budget : USD 14,350,000 (as shared by UN partners at the 2 <sup>nd</sup> national PSC meeting on 20/01/2011)		
Managing or Administrative Agent: UN Agencies for parallel funding, State Governments for pass-through of UNDP funds.	2. Unfunded budget: USD 400,000		
	<b>Sources of funded budget (for programme period):</b>		
<b>2011 AWP Budget for the programme: USD</b>	<ul style="list-style-type: none"> <li>• Govt. of India</li> <li>• FAO</li> <li>• ILO</li> <li>• UNAIDS</li> <li>• UNESCO</li> <li>• UN-Habitat</li> <li>• UNIFEM</li> <li>• WFP</li> <li>• WHO</li> </ul>	<ul style="list-style-type: none"> <li>• UNDP</li> <li>• UNICEF</li> <li>• UNFPA</li> </ul>	USD 7,500,000 (Including UNV contribution in CDDP project – USD 500,000)  USD 6,000,000  USD 850,000
UNDP Component* : \$ 1,630,300			
UNICEF Component : \$ 1,945,000			
UNFPA Component : \$ 226,331			

\* Actual expenditure incurred in previous years is annexed


**Agreed by Adviser (MLP) Planning Commission, GoI:**

Signature, Name, Designation and Date

  
 16/5/11  
**Sat Pal Chouhan**  
 Adviser  
 Planning Commission  
 Govt. Of India  
 New Delhi-01

**Agreed by UN Resident Coordinator:**

Signature, Name, Designation and Date

  
 20/6/2011  
**Patrice Coeur-Bizot**  
 UN Resident Coordinator &  
 UNDP Resident Representative

**UNDP CONTRIBUTION AND BUDGETS IN THE GOI-UN JOINT PROGRAMME ON CONVERGENCE**

**ACTUAL YEAR-WISE PROJECT EXPENDITURE UNDER UNDP COMPONENT:**

Total Project budget (USD)	Actual Exp in 2008	Actual exp in 2009 (USD)	Actual exp in 2010 (USD)	Budget for 2011 (USD)
7,500,000	-	1,205,432	1,511,597	1,630,300

**UNDP PROJECT AND AWP 2011 BUDGET:**

UNDP funds	Government funds	Donor funds (UN Volunteers)	Total
7,000,000	-	500,000	USD...7,500,000.....(for entire project duration)
1,500,000	-	130,300	USD...1,630,300.....(for 2011)

**UN Agency Contribution from the Programme for the Year 2011**  
**Programme Period: 2008-2012**

2011 AWP budget : USD 3,710,300  
Management Arrangements : National Implementation; Pooled funding

**UNDP**

Contribution for 2011 – USD 1,630,300

CPAP Output : 2.1 Elected representatives and local functionaries performing their role in formulation, execution and monitoring of integrated district development plans and budgets, ensuring participation and inclusion of poor women and men from SC and ST groups minorities.

Key Results area (Strategic Plan) : Strengthening accountable and responsive governing institutions.

Atlas Award ID : 00049815  
Start Date : 15 September 2009  
End Date : 31 December 2012  
PAC Meeting Date : 7 November 2008

**UNFPA**

Contribution for 2011 – USD 226,331

CPAP Output : Population and Development – Build Capacity to integrate population Dynamics into national policies and programmes

Atlas Award ID : 00049815  
Start Date : 15 September 2009  
End Date : 31 December 2012

**UNICEF**

Contribution for 2011 – USD 1,935,000

CPAP Output : 10.1.1 highly dedicated and special trained ‘District facilitators’ (leveragers )  
Provide support to the district administration leading to

- a) Improved management of flagship programmes and service delivery ;
- b) Improved monitoring of key indicators around children;
- c) Improved management of budgetary resources;
- d) Enhanced capacity of the line departments in meeting the demands of the people
- e) Socials sector agenda placed at the highest pedestal in the district development disclosure

PROMS Award ID : SPPME – Delhi – YT 810  
Start Date : 1 January 2011

# Annual Work Plan (AWP) 2011

## Gol-UN Joint Programme on Convergence

Sl.	Project Targets for 2011 (targets to be 'SMART')	Planned Activities (Including M&E and procurements, as applicable)	Indicative month of completion	UN Agency	IP / RP	Component (N/S)	Physical Location	Budget (USD)	Budget (INR)	Remarks
<b>1</b>	<b>JP Outcome 1:</b>									
	<b>Integrated and inclusive district planning adopted.</b>									
<b>1.1</b>	<b>Output 1.1:</b>									
	<b>Coordination mechanism to foster convergence established.</b>									
<b>1.1.1</b>	<b>Target 1:</b> <i>By end of 2011, at least in 2 districts, more than 3 line departments convergently implement flagship programmes as integrated in the district plans.</i>	Coordination meetings for convergence at State level	December	UNDP	SG	S	All 7 states	10,000	455,000	
<b>1.1.2</b>		National Conference of all Gol-UNJPC stakeholders (including DSOs, DFs & SPOs) with focus on MTE roll-out	June	UNICEF	PC, SG	N	National	20,800	946,400	i) All UN agencies jointly design the Conference. ii) Respective agencies bear their staff travel cost.
<b>1.1.3</b>		Support to State & District Nodal agency (Office equipments/stationery/utility, communication & travel, SPOs' salary)	Dec	UNDP	PC, SG	N	All 7 states	179,000	8,144,500	i) SPOs' salary / travel cost etc. to be disbursed from the national level ii) Funds for other components to be released to states.
						S	All 7 states	25,000	1,137,500	
<b>1.2</b>	<b>Output 1.2:</b>									
	<b>State level vision and strategy for institutionalised district planning adopted.</b>									
<b>1.2.1</b>	<b>Target 2:</b> <i>By end of 2011, district planning guideline/ strategy documents are approved and guide the planning process in one State</i>	States technically supported for developing/improving District Planning guidelines in their specific contexts	May	UNDP	PC	N		4,000	182,000	
<b>1.2.2</b>		States revisit/customise existing guidelines and facilitate their institutional use	August	UNDP	BH, JH, RJ, UP	S		25,600	1,164,800	

Sl.	Project Targets for 2011 (targets to be 'SMART')	Planned Activities (Including M&E and procurements, as applicable)	Indicative month of completion	UN Agency	IP / RP	Component (N/S)	Physical Location	Budget (USD)	Budget (INR)	Remarks
<b>1.3</b>	<b>Output 1.3:</b> <b>Capacities of Institutions and Human resources (district and local functionaries, elected members of all tiers of PRIs and ULBs etc.) in most aspects of planning, monitoring and evaluation are developed.</b>									
1.3.1	<b>Target 3:</b> <i>By end of 2011, training programs are established on decentralised and inclusive planning for PRI/ULB/District officials/TSG in atleast 3 states.</i>	Establish National Resource Cell for Decentralised planning	October	UNDP	PC	N	National	60,000	2,730,000	
1.3.2		Assess capacity of state training institutions (i.e. ATIs, SIRDs etc.) on decentralised Planning.	May	UNDP	PC	N	All 7 states	2,500	113,750	
1.3.3		Finalise & roll out capacity building modules on decentralised / integrated district planning	May	UNDP	PC	N	National	10,500	477,750	
1.3.4		Based on capacity assessments, support State training institutions for institution development (i.e. faculty & capacity support, roll-out of DP capacity building for master trainers & representatives of PRIs/ULBs/ TSGs & govt. officials)	August	UNDP	ATI; SIRD; SG	S	All 7 states	200,000	9,100,000	
1.3.5		Facilitate inter-state learning and exposure visits on inculcating good practices on DP & convergence	November	UNDP	PC, SG	S	All 7 states	32,000	1,456,000	
1.3.6		Support Solution Exchange for sharing of experiences and lessons through e-knowledge network, and discussion on issues	December	UNDP	PC	N	National	30,000	1,365,000	

Sl.	Project Targets for 2011 (targets to be 'SMART')	Planned Activities (Including M&E and procurements, as applicable)	Indicative month of completion	UN Agency	IP / RP	Component (N/S)	Physical Location	Budget (USD)	Budget (INR)	Remarks	
1.4.6		GoI-UNDP Project Management & assurance cost PMU staff salary, travel & communication PMU operational cost (office rentals, security, utility etc.) UNDP Implementation Support service charges (ISS)	December	UNDP	PC	N	All states	191,000	8,690,500		
			December	UNDP	PC	N	All states	79,000	3,594,500		
			December	UNDP		N	All states	10,000	455,000		
		UNDP State Programme office cost	December	UNDP		N	All states	20,000	910,000		
		Programme Assurance	December	UNDP		N	All states	5,000	227,500		
		Audit cost	December	UNDP		N	All states	20,000	910,000		
<b>Sub-Total (Outcome 1)</b>									<b>1,589,231</b>	<b>72,310,011</b>	
<b>2</b>	<b>JP Outcome 2: District resources are increased through enhanced local resource mobilization, allocated more efficiently, and used more effectively.</b>										
<b>2.1</b>	<b>Output 2.1: Capacity of elected representatives to understand districts budget is improved.</b>										
2.1.1	<b>Target 9:</b> <i>By end of 2011, plans of PRIs/ULBs reflect awareness of government budget allocations and local resources to be mobilised in at least 3 districts</i>	Take budget analysis forward through support of a technical agency	June	UNICEF	SG	N	All states	15,000	682,500		
2.1.2		Document PPCP framework and resource generation in an experiential perspective	June	UNDP	PC	N	National	12,000	546,000		
2.1.3		Promote local resource generation models following Panchayats & district level experiences	December	UNICEF	PC, SG	N	All states	0	0	With insights from budget analysis and good practices, state & district teams would take it up without any further budget allocation to these activities.	
2.1.4		Facilitate use of budget management tools and build capacity of PRIs/ ULBs.	December	UNICEF	PC, SG	N	All states	0	0		
<b>Sub- Total (Outcome 2)</b>									<b>27,000</b>	<b>1,228,500</b>	

Sl.	Project Targets for 2011 (targets to be 'SMART')	Planned Activities (Including M&E and procurements, as applicable)	Indicative month of completion	UN Agency	IP / RP	Component (N/S)	Physical Location	Budget (USD)	Budget (INR)	Remarks	
<b>3</b>	<b>JP Outcome 3:</b> Service delivery under government programmes improved at local level										
<b>3.1</b>	<b>Output 3.1</b> Bottlenecks / constraints in service delivery identified and issues flagged for necessary action.										
3.1.1	<b>Target 10:</b> By end of 2011, mechanism established for resolving 2 most important bottlenecks in service delivery in 3 districts.	Take forward HR (tenure & vacancies) analysis through a technical agency	June	UNICEF	SG	N	All 7 states	15,000	682,500		
3.1.2		Bring out flagship programme analyses as products for policy influencing at state and national levels	November	UNICEF UNDP	SG SG	N N	All 7 states All 7 states	10,000 0	455,000 0	ATI partnerships (1.3.3) will study MDG bottlenecks & inform capacity building & policy	
									<b>25,000</b>	<b>1,137,500</b>	
<b>Sub-Total (Outcome 3)</b>											
<b>4</b>	<b>JP Outcome 4:</b> Use of information is improved in the management of government schemes at all levels										
<b>4.1</b>	<b>Output 4.1:</b> Participatory programme monitoring mechanisms established at district and sub-district levels with partnership between government departments and community / civil society organisations										
4.1.1	<b>Target 11:</b> By end of 2011, at least in 3 districts, govt officials/PRIs and community representatives are jointly monitoring more than one flagship programmes in the light of district plan.	Facilitate community monitoring system (PAHELI, Social audits, Community report card) in 7 districts for increased awareness on service deliveries and accountability	September	UNICEF UNDP	PRAT-HAM PRAT-HAM	N N	All 7 states All 7 states	79,200 74,000	3,603,600 3,367,000	The programme would be managed through a single modality. UNDP and UNICEF would fund the intervention equally and UNICEF's funds would be routed through UNDP and include relevant administrative charges	

Sl.	Project Targets for 2011 (targets to be 'SMART')	Planned Activities (Including M&E and procurements, as applicable)	Indicative month of completion	UN Agency	IP / RP	Component (N/S)	Physical Location	Budget (USD)	Budget (INR)	Remarks	
<b>4.2</b>	<b>Output 4.2:</b>	<b>Management Information Systems and their use in planning and monitoring improved</b>									
<b>4.2.1</b>	<b>Target 12:</b> <i>By end 2011, planning and implementation reflect improved use of data in at least 3 districts</i>	Result based management approach inculcated in planning, monitoring and implementation in pilot districts ( Raj, Jh, UP, MP)	August	UNDP	SG	S		16,500	750,750	Conduct of activity and disbursement of funds would be done from the state level. Technical support would be extended From the national level.	
<b>4.2.2</b>		Bi-annual review & learning meet for project staff (UNVs-SPO-DPSO-DFs) and Govt officials	Aug	UNDP	PC, SG	N	National	15,000	682,500		
<b>4.2.3</b>		Technical support to field offices through visits (at least one visit per office and more on request)	Dec	UNICEF	SG	N		50,000	2,275,000		
<b>4.2.4</b>		Placing UNVs in pilot districts ( 3 UNVs per state =21 + 1 at national) - VLA, TA, & DATA card	May	UNDP	PC, SG	N	All 7 states	165,700	7,539,350		
<b>4.2.5</b>		Technical support through State & District level Facilitators in 35 districts in 7 Gol-UNJPC States	Dec	UNICEF	SG	N	All 7 states	1,570,000	71,435,000		
<b>4.2.6</b>		20 newly recruited DFs trained for deployment in States	May	UNICEF	SG	N	All 7 states	150,000	6,825,000		
<b>4.2.7</b>		Mid-Term Evaluation (MTE) of the July Gol-UNJPC	July	UNDP	PC	N	National	10,000	455,000		
				UNICEF	PC	N	National	10,000	455,000		
				UNFPA	PC	N	National	10,000	455,000		
									<b>2,150,400</b>	<b>97,843,200</b>	
									<b>3,791,631</b>	<b>172,519,211</b>	
<b>Sub- Total (Outcome 4)</b>											
<b>GRAND TOTAL</b>											



Sl.	Project Targets for 2011 (targets to be 'SMART')	Planned Activities (Including M&E and procurements, as applicable)	Indicative month of completion	UN Agency	IP / RP	Component (N/S)	Physical Location	Budget (USD)	Budget (INR)	Remarks
-----	--	--	--------------------------------	-----------	---------	-----------------	-------------------	--------------	--------------	---------

**Budget Summary: AWP 2011**

5	UNDP Component	USD	INR
5.1	National Component	11,45,200	5,21,06,600
5.2	States' Component	4,85,100	2,20,72,050
	<b>Sub-Total (Total UNDP)</b>	<b>16,30,300</b>	<b>7,41,78,650</b>
6	UNICEF Component	19,35,000	8,80,42,500
7	UNFPA Component	2,26,331	1,02,98,061
	<b>Grand-Total</b>	<b>37,91,631</b>	<b>17,25,19,211</b>

**Notes:**

1. Rate of exchange used for calculation: 1 USD = INR 45.5

2. Abbreviations used: IP/RP - Implementing Partner / Responsible PartyN - National Component; S - States' Component; PC - Planning Commission of India; PPCP - Public Private Community Partnership; SG - State Government; ATI - Administrative Training Institute; SIRD - State Institute for Rural Development; DF - District Facilitator